Contents

List of Contributors xi

1 Introduction 1
Hugh Deeming
1.1 Book Content 2
References 3

Section I Conceptual and Theoretical Underpinnings to Community Disaster Resilience 5

2 Understanding Disaster Resilience: The emBRACE Approach 9
Thomas Abeling, Nazmul Huq, Denis Chang-Seng, Jörn Birkmann, Jan Wolfertz, Fabrice Renaud, and Matthias Garschagen
2.1 Introduction 9
2.2 Resilience: Concept 9
2.2.1 Resilience in the Social Domain 10
2.2.2 Resilience: An Outcome or a Process? 11
2.2.3 Resilience on Individual and Collective Levels 11
2.3 Resilience: Methodology 12
2.3.1 Social/Political Resilience 12
2.3.2 Linking Biophysical and Social Resilience 14
2.4 Resilience: Indicators 15
2.5 Gaps and Challenges 17
2.5.1 Challenges in the Transition from Ecology to Social Science 17
2.5.2 The Role of Power 18
2.5.3 Representation of Community 19
2.5.4 Transformation 20
2.5.5 Resourcefulness 21
2.6 Conclusion 22
References 22

3 Mobilising Resources for Resilience 27
Cheney Shreve and Maureen Fordham
3.1 Introduction 27
3.2 Background: Origins of Livelihoods Thinking 27
3.2.1 Successes of SLAs: Changing the Way Development was Done 29
Contents
vi
41
4

6.3 Developing the emBRACE Resilience Framework 82
6.3.1 Deductive Framework Development: A Structured Literature Review 82
6.3.2 Inductive Framework Development: Empirical Case Study Research 83
6.3.3 Participatory Assessment Workshops with Stakeholder Groups 83
6.3.4 Synthesis: An Iterative Process of Framework Development 83
6.4 The Conceptual Framework for Characterising Community Resilience 84
6.4.1 Intracommunity Domains of Resilience: Resources and Capacities, Action, and Learning 84
6.4.1.1 Resources and Capacities 84
6.4.1.2 Actions 86
6.4.1.3 Learning 88
6.4.2 Extracommunity Framing of Community Resilience 89
6.4.2.1 Disaster Risk Governance 89
6.4.2.2 Non-Directly Hazard-Related Context, Social-Ecological Change, and Disturbances 90
6.5 Discussion and Conclusion 91
6.5.1 Interlinkages between the Domains and Extracommunity Framing 91
6.5.2 Application and Operationalisation of the Framework in Indicator-Based Assessments 91
6.5.3 Reflections on the Results and emBRACE Methodology and Limits of the Findings 91
References 92

7 Disaster Impact and Land Use Data Analysis in the Context of a Resilience-Relevant Footprint 97
Marco Pregnolato, Marcello Petitta, and Stefan Schneiderbauer
7.1 Introduction 97
7.2 Data and Methodology 99
7.2.1 Data 99
7.2.2 Methodology 99
7.3 Results 102
7.3.1 National Scale 102
7.3.2 Regional Scale: Analysis of Landslides that Occurred Near a Change in LULC 103
7.3.3 Subnational Scale: Analysis of HTI Changes 107
7.3.4 Subnational Scale: Analysis of the LULC Changes in Time Domain 108
7.4 Conclusions and Discussions 108
7.4.1 Is There Any Relationship Between LULC and Landslide Events? 108
7.4.2 Is There Any Relationship Between a Change in LULC and a Landslide Event? 109
7.4.3 Is It Possible to Use LULC Data as a Footprint for Landslide Events? 109
7.4.4 Is It Possible to Use Disaster Footprint and Susceptibility for Resilience Research? 109
7.5 Conclusion 110
References 110
8 Development of Quantitative Resilience Indicators for Measuring Resilience at the Local Level 113
Sebastian Jülich
8.1 Introduction 113
8.2 Stages of Indicator Operationalisation 114
8.3 Quantitative Indicator Development 116
8.4 Residence Time as Partial Resilience Indicator 117
8.5 Awareness through Past Natural Disasters as Partial Resilience Indicator 118
8.5.1 Single Factor Time 119
8.5.2 Single Factor Intensity 120
8.5.3 Single Factor Distance 121
8.5.4 Combination of the Three Single Factors 121
8.6 Warning Services as Partial Resilience Indicators 122
8.7 Conclusion 123
References 124

9 Managing Complex Systems: The Need to Structure Qualitative Data 125
John Forrester, Nilufar Matin, Richard Taylor, Lydia Pedoth, Belinda Davis, and Hugh Deeming
9.1 Introduction 125
9.2 Mapping of Social Networks as a Measure of Community Resilience 127
9.2.1 Assessing Resilience Using Network Maps: The emBRACE Experience 128
9.3 Agent-Based Models 131
9.3.1 Two Case Studies of ABM in emBRACE 132
9.4 Other Qualitative Data-Structuring Methodologies 134
9.5 Discussion 134
9.6 Conclusion 136
References 136

10 Combining Quantitative and Qualitative Indicators for Assessing Community Resilience to Natural Hazards 139
Daniel Becker, Stefan Schneiderbauer, John Forrester, and Lydia Pedoth
10.1 Introduction 139
10.2 Current Indicator-Based Approaches for Assessing Community Resilience 140
10.3 From Concept to Assessment: The emBRACE Approach 142
10.3.1 Using Indicators for Assessing Community Resilience within emBRACE 142
10.3.2 The Process of Grounding our Indicators 143
10.4 Systematisation of Indicators 145
10.5 Deriving Key Indicators of Community Resilience 148
10.6 Conclusion 151
References 151
## Section III Empirically Grounding the Resilience Concept

### 11 Resilience, the Limits of Adaptation and the Need for Transformation in the Context of Multiple Flood Events in Central Europe

*Christian Kuhlicke, Anna Kunath, Chloe Begg, and Maximilian Beyer*

- **Introduction**: 159
- **Key Concepts for the Case Study**: 161
- **Insights into the Case Study Settings and Methods**: 162
- **Flood Risk Management in Saxony and Bavaria**: 162
- **Methods of Case Study Research – Description of Empirical Work**: 163
  - **Interviews**: 163
  - **Household Survey**: 163
- **Results of the Interviews: Resilience, Learning, and Transformation**: 165
- **Results of the Household Survey: Resilience, Limits of Adaptation, and Responsibility**: 167
  - **Impacts of (Multiple) Flood Experience**: 167
  - **Perception of Responsibility in Flood Risk Management**: 170
  - **Attitudes towards Participation**: 171
- **Community Resilience and the Idea of Transformation**: 172

### References: 173

### 12 River and Surface Water Flooding in Northern England: The Civil Protection-Social Protection Nexus

*Hugh Deeming, Belinda Davis, Maureen Fordham, and Simon Taylor*

- **Introduction**: 177
- **Conceptualising Community**: 179
- **Methods**: 181
- **Results**: 182
  - **Rural Resilience**: 182
  - **Urban Resilience**: 185
    - **Keswick**: 185
    - **Cockermouth**: 189
    - **Workington**: 191
- **Discussion and Conclusions**: 192

### References: 194

### 13 The Role of Risk Perception and Community Networks in Preparing for and Responding to Landslides: A Dolomite Case Study

*Lydia Pedoth, Richard Taylor, Christian Kofler, Agnieszka Elzbieta Stawinoga, John Forrester, Nilufar Matin, and Stefan Schneiderbauer*

- **Introduction**: 197
- **Badia and the Alpine Context**: 198
- **Two Types of Communities and a Mixed Method Approach**: 201
- **Risk Perception, Risk Attitude, and Response Behaviour**: 203

### References: 203
13.4.1 Risk Behaviour Profiles 204
13.4.1.1 Temporal Variation in People’s Perception of Response and Recovery Actions 206
13.5 Community Networks 209
13.6 Conclusions and Discussion 214
References 217

14 The Social Life of Heatwave in London: Recasting the Role of Community and Resilience 221
Sebastien Nobert and Mark Pelling
14.1 Introduction 221
14.2 Methodology 222
14.2.1 Community Resilience or Resilience from Community? 223
14.2.1.1 Community and the Elderly 223
14.2.1.2 Resilience and Community Ties 224
14.2.2 Rethinking the Normatives of Heatwave Management: Family, Social Ties, and the Collectivity 225
14.2.2.1 Loneliness, Social Networks, and Community 226
14.2.2.2 Rethinking Social Network and Social Capital as Vulnerability Factors 227
14.2.2.3 Social Capital, Fragmented Community, and New Vulnerability 230
14.3 Conclusion 231
References 232
Further Reading 234

15 Perceptions of Individual and Community Resilience to Earthquakes: A Case Study from Turkey 237
A. Nuray Karanci, Gözde Ikizer, Canay Doğulu, and Dilek Özceylan-Aubrecht
15.1 Introduction 238
15.2 Context of the Case Study 239
15.2.1 Van: The Earthquakes and Sociodemographic Context 239
15.2.2 Adapazari/Sakarya: The Earthquake and Sociodemographic Context 240
15.3 Main Aims and Research Questions 241
15.4 Methodological Approaches 241
15.4.1 In-Depth Interviews 242
15.4.2 Focus Groups 242
15.5 Perceptions of Resilience According to the emBRACE Framework 242
15.5.1 Resources and Capacities 244
15.5.2 Learning 250
15.5.3 Context 252
15.6 Discussion and Conclusions 252
References 254

Conclusions 257
Index 261