Index

a
addition, invention process 6
allowance, patent language 78
Altshuller, Genrikh Saulovich 45, 222
Altshuller’s philosophy 223
American Invents Act (AIA) 195
annual-term goals 238
apparatus claim 183–184
apparatus drawings
rules for 143–144
types of 143
applicant, design patents 90
Application Papers, OA
drawing status, accepted/objected 197
objection of specification 197
assignee, design patents 90
Australian Human Rights and Equal Opportunity Commission 246

b
Beauregard claims 152, 155, 185–186
bipolar junction transistors (BJT) 144
botanical characteristics 96–97
botanical description 95, 96

circuit drawings
active and passive single elements 144
block diagram 144
component level 146
high-level drawing 145
rules for 145–146
types of 144
claims
apparatus claim 183–184
Beauregard claim 185–186
exhaustive combination claims 186
hybrid claim 185
Jepson claim 185
Markush claim 186
means plus function claims 185
method claims 184–185
omnibus claim 187
product-by-process claim 187
programmed computer claim 187
reach-through claims 187–188
signal claim 187
structure claim 183
Swiss-type claims 187
writing
dependent claim 181–182
independent claim 181, 182
coinventors
design patents 90
plant patents 93
communication, patent examiner 201–202
computer-aided design (CAD) tools 140
consulting witness 245
continuation 77, 94
continuation-in-part (CIP) applications 77
continuing patent application 77
copending applications 94
copyrights 2, 4
corporate awards 240
corporate intellectual property (IP) strategy
annual-term goals 238
individual goals 237
long-term goals 238
organizational goals 237
performance plans 237
short-term goals 238
targets 237–238
corporate patent strategy
corporate IP strategy
individual goals 237
long-term goals 238
organizational goals 237
performance plans 237
short-term goals 238
targets 237–238
database patent tracking system, WPTS 229–232
incentives
annul annual inventor dinners,
inventory to 240
corporate awards 240
corporate technical recognition award for top inventors,
inventory to 240
division awards 240
invention achievement plateau award 239
invention disclosure submission award 239
invention patent issue award 239
master inventor award 240
supplemental 20% awards 240
top 5% invention awards 240
invention disclosure submission 232–233
invention ideas and disclosures 232
invention review and evaluation
alternative circuits/methods 235
alternatives 235
clarity 235
detectability 235
disclosure number 234
essential features 235
inventors' names 235
prior art 235
reviewers name 235
scope 235
state of the art 235
title 234
patent attorney 236
review committee 229, 236
corporate technical recognition award 240
creative cycle 42–43
creative problem-solving (CPS) sessions
attendees team 219–221
breakout groups and invention development 222
closure 222
invention process 221–222
invention voting procedure 222
moderator team 219
rules of conduct 221
topics 221
creative process 37, 38
critical thinking 44
cross-disciplines 43, 44
customer information notes (CIN) 249
d
database patent tracking system 229–232
Daubert test 251–252
Davie v Magistrates of Edinburgh (1953) 246
deletion, invention process 6
dependent claims 75, 81, 181–182
design drawings
engineering drawings 149
formal drawings 148
informal drawings 148
rules for 151
US D712,405S, Electronic Device 149–151
design patents 3, 85
applicant and assignee 90
claims 92
description 91
figures 91
foreign patent documents 90–91
inventors and coinventors 90
other references 91
patent name designation 89
references cited 90
Index

311

Title 89

detailed action, OA
Allowable Subject Matter 201
claim objections 198
claim rejections
drawing objections 200–201
35 USC 101, 198
35 USC 112, 198–199
35 USC 102 Novelty Rejection 199
35 USC 103 Obviousness
Rejection 200
Disclosure of Asserted Claims and
Infringement Contentions 247
Disclosure of Non-Infringement,
Invalidity and Unenforceability
Contentions 247
disclosure, patent language 74–75
disposition of claims, OA
allowed claims 196
claims pending 196
objected claims 197
rejected claims 197
divisional applications 77
division awards 240
DPMA. see German Patent and
Trademark Office
Draftperson’s Patent Drawing
Review 154–157
drawing informalities 203
Drawing Review Branch 152
e
Edison, Thomas A., 41
educating witnesses 245
Electronic Business Center (EBC) 202
enabling disclosure 158
engineering drawings 149
European Office Action
European Office Action
Response 212–213
opening comments 208
patent examiner rulings
Article 84 EPC, Claims 209
Article 42 (1) EPC, content of the
description 210
Article 83 EPC disclosure of the
invention 209
Article 78 EPC, European
patent application
requirements of 209
Article 43 (1) EPC, form and content
of claims 210–211
Article 56 EPC, inventive step 210
Article 54 EPC, novelty 210
Article 52 (1) EPC, patentable
inventions 209
reading 208
Examining Branch 152, 154
exhaustive combination claims 186
expert evidence 243
expert witness
access to materials in public domain
CIN 249
prior art searches 249
product documentations 249
released press literature 249
types 248–249
access to materials not in the public
domain
design manuals 250
design physical layout 250–251
process flow 251
technical disclosure notebooks 250
types 250
definition of 243
duties of 244
patent attorney on litigation, working
with 246
patent litigation case 243
report 246
role of 243–244
scientific evidence
Daubert test 251–252
Frye test 251
tactics
invalidity contention
document 247–248
patent invalidation 246
types of
consulting witness 245
educating witness 245
non-testifying witness 245
reporting witness 245
testifying witness 245–246
Federal Rule of Evidence 702
(FRE) 244, 245
Final Office Action (FOA) 78, 207–208
final rejection 78–79
first office action 78
“First to Invent” systems 39
floppy-disk claim 152
FOA. see Final Office Action (FOA)
foreign patent documents 90–91
foreshortening 140
formal drawings 148
Frye test 251
German Patent and Trademark Office
(DPMA)
conclusion section 216
formal defects 215
Interpretation
claim 214–215
Inventive Step 215
novelty 215
Listing of Enclosures 213
numbering 214
person of ordinary skill in the art 214
subject of the application 214
Underlying documents 214
graphical unit interface (GUI) 73
hybrid claims 185
hybrid drawings 145
incentives, corporate patent strategy
annual inventor dinners, invitation to 240
corporate awards 240
corporate technical recognition award for top inventors, invitation to 240
division awards 240
invention achievement plateau award 239
invention disclosure submission award 239
invention patent issue award 239
master inventor award 240
supplemental 20% awards 240
top 5% invention awards 240
incremental invention 43
independent claims 75, 81, 82, 181, 182
individual goals 237
informal drawings 148
intellectual property (IP)
copyrights 2, 4
definition 2
invention (see invention)
patent 2
categories 3
corporation gains 9–10
definition 2
inventor gains 8, 9
need for 3
US Constitution 2–3
US Patent No. 5,384,474 14–24
trademarks 2, 4
trade secrets 2
invalidity contentions 247, 248
invention
corporation gains 9–10
course 1
everolutionary vs. revolutionary changes 44
finding, boundaries of disciplines 44, 45
inventors (see inventors)
process 6–7
to productization 8
structured invention 44–45
time 7–8, 40
US Patent No. 5,521,115 60–71
US Patent No. 5,710,682 49–59
in work 7
invention achievement plateau award 239
Invention Development Team (IDT) 231
Invention Disclosure notebooks 39
invention disclosure submission award 239
invention generation methodologies
anticipation, next
invention 225–226
CPS sessions (see creative problem-solving (CPS sessions))
Index

313

data mining 225
SIT 224–225
systematic thinking practices 222–224
USIT 225
invention patent issue award 239
invention review and evaluation, corporate patent strategy
alternative circuits/methods 235
alternatives 235
clarity 235
detectability 235
disclosure number 234
essential features 235
inventors’ names 235
prior art 235
reviewers name 235
scope 235
state of the art 235
title 234
inventors 4–5
capturing of thoughts 39
creative cycle 42–43
creative processes 37, 38
design patents 90
gains 8, 9
invention places 38–39
lateral thinking vs. critical thinking 44
left brain and right brain functions 43
patent application 76
plant patents 93
studying
goals and objectives 41
habits 41
prolific inventors 40–41
thinking out of the box 43–44
thought processes 38
time for thinking 39
IP. see intellectual property (IP)

m
Manual of Patent Examining Procedures (MPEP) 74, 78, 198
Markush claims 186
master inventor award 240
means plus function claims 185
metal–oxide–semiconductor field-effect transistors (MOSFETs) 144–145
method claims 184–185
MPEP. see Manual of Patent Examining Procedures (MPEP)

n
natural invention 45
nondisclosure agreements (NDA) 233
nonprovisional application 76, 81
nontestifying witnesses 245
Notice of Allowance (NOA) 79, 239
Notice of Draftperson’s Patent Drawing Review (PTO 948) 154

O
OA. see Office Actions (OA)
Oath of Declaration 90
objected claims 203
Office Action Response, writing of
amending claims 203, 204
amending rejected claims procedure 204–205
35 U.S.C. 102 rejection 206
35 U.S.C. 103 rejection 206
35 U.S.C. 112 rejection 205
closing statements 207
first page of 202
formalities 203
objected claims 206
patent application 203
remarks/arguments 203
second page of 203
withdrawing claim process 206
Office Actions (OA) 74–75
Application Papers
drawing status, accepted/objected 197
objection of specification 197
Detailed Action
Allowable Subject Matter 201
claim objections 198
claim rejections 198–201

j
Jepson claims 187
joint inventors 76

l
lateral thinking 44
logic circuits 145
long-term goals 238
Office Actions (OA) (Contd.)
disposition of claims
  allowed claims 196
  claims pending 196
  objected claims 197
  rejected claims 197
  restriction/election requirements 197
DPMA Office Action 213–216
European Law Firms and EU
  Response 216
European Office Action 208–213
FOA 207–208
Office Action Response, writing of
  amending claims 203, 204
  amending rejected claims 204–206
  closing statements 207
  first page of 202
  formalities 203
  objected claims 206
  patent application 203
  remarks/arguments 203
  second page of 203
  withdrawing claim process 206
preliminary amendment 207
USPTO
  AIA (first inventor to file) 195
  application number 193
  Art Unit 195
  Attorney Docket Number 194
  examiner 195
  filing date 195
  first named inventor 194
  law firm 195
  mail date 195
  status section 195–196
omnibus claims 187
organizational goals 237

p
parent application 77
patent(s)
categories 3
corporation gains 9–10
definition 2
inventor gains 8, 9
need for 3
types
design patents 85, 89–92

plant patents 86, 92–98
utility patents 85–89
US Constitution 2–3
US Patent No. 5,384,474 14–24
US Patent No. 6,549,061, 99 117–130
US Patent No. 6,762,918, 99 131–138
Patent and Trademark Office (PTO) 248
patent draft
  abstract 81–82
  Background section 80
  Brief Description of the Figures 81
  Claims 81
  Detailed Description of the
    Invention 81
  Field of the Invention 80
  structure 79, 80
  Summary 80–81
  title 80
patent drawings
  and office actions
    Draftperson's Patent Drawing
      Review 154–157
    Drawing Review Branch 152
    Examining Branch 152, 154
    informalities 154
    objections/rejections 158
apparatus
  rules for 143–144
  types of 143
Beauregard claims 152, 155
circuit drawings
  active and passive single
    elements 144
  block diagram 144
  component level 146
  high-level drawing 145
  rules for 145–146
  types of 144
design
  engineering drawings 149
  formal drawings 148
  informal drawings 148
  rules for , 151
US D712,405S, Electronic
  Device 149–151
drawing techniques
camera 140
computer 140
hand 140
method
and claims 148
rules of 147–148
orthogonal views 139
perspective views 139–140
plant drawings 151–154
structure drawings
“FIG. XX,” 141
number line/squiggly line 141
prior art figures 141
rules for 141–143
types 141
systems
rules for 146–147
types 146
35 USC 113, 139
US patent
design patent, US D762,208 S 167–179
plant patent 162–166
utility patents
MPEP section 608.02, 140
types 140–141
patent language
allowance 78
application types 74
claims 75–76
continuing patent application 77
divisional applications 77
nonprovisional application 76
provisional application 76
inventor 76
MPEP 78
NOA 79
office actions 77–78
patent pending 79
rejection 78–79
specification 74–75
withdrawal 79
patent pending 79
patent search engines
Google patents 74
Pat2PDF 73
USPTO 73
performance plans 237
photographs 140
plant characteristics 94–97
plant drawings 151–154
plant patents 3
algae 92
coinventor 93
inventors 93
macrofungus 92
structure
abstract 98
Background section of the invention 94
Brief Description of Drawings 95
claims 97–98
cross-reference to related applications 94
Detailed Botanical Description of the Plant 95–97
Latin name of the genus 94
patent name designation 93
Summary section 94–95
title 93
variety denomination 94
Title 37 of the Code of Federal Regulations, Section 1.163(a) 86
Tubers 92
prior art 78, 88
product-by-process claim 187
programmed computer claim 187
prolific inventors 40–41
provisional application 76
reach-through claims 187–188
rearrangement, invention process 6
rejected claims 203
rejection, patent language 78–79
reporting witnesses 245
scratch/tracing techniques 140
short-term goals 238
signal claims 187
Static Read Access Memory (SRAM) cell layout 251
structure claims 183
structure drawings
“FIG. XX” 141
structure drawings (Contd.)
number line/squiggly line 141
prior art figures 141
rules for 141–143
types 141
Swiss-type claims 187
systematic inventive thinking
(SIT) 224–225
systematic thinking 222–224
systems drawing
rules for 146–147
types 146

T
testifying witness 245–246
Theory of Solving Inventive
Problem/Theory of Inventive
Problem Solving (TRIZ) 44–45
Altshuller’s philosophy 223
contradictions, removal of 223–224
think pad 8
thought process 38
trademarks 2, 4
trade secrets 2

U
unified systematic inventive thinking
(USIT) 225
United States Code (USC) 198–201
United States Patent and Trademark Office
(USPTO) 73, 204
Markush-type claim 186
natural invention 45
Office Actions
AIA 195
application number 193
Art Unit 195
Attorney Docket Number 194
examiner 195
filing date 195
first named inventor 194
law firm 195
mail date 195
status section 195–196
structure drawings, rules for 141
United States patents
design patent, US D762,208 S 167–179
inventors 4
plant patent 162–166
US D712,405S, Electronic Device 149–151
US Patent No. 5,384,474 14–24
US Patent No. 5,710,682 49–59
US Patent No. 6,549,061 99, 117–130
US Patent No. 6,762,918 99, 131–138
USPP1 95, 97–98
US Constitution 2–3
US Plant Patent 1 (USPP1) 95, 97–98
USPTO. see United States Patent and
Trademark Office (USPTO)
utility application 94
utility patents 3, 86
definition 85
MPEP section 608.02 140
structure 86, 87
abstract 89
Background section 87
Brief Description of Figures 88
Claims section 89
Detailed Description of the
Invention 88
Field of the Invention 87
figures 88–89
Summary section 87–88
title 87
types 140–141

V
“vanishing points,” 140
variety denomination 94
Venn diagram 43

W
withdrawal, patent language 79
witness
consulting 245
educating 245
nontestifying 245
reporting 245
testifying 245–246
Worldwide Patent Tracking System
(WPTS) 229–232