Contents

Foreword xi
Preface (Second Edition) xiii
Preface (First Edition) xv
Acknowledgements xvii

Introduction 1
From 2G to 3G and B3G Multiple Access Schemes 2
From 3G to 4G Multiple Access Schemes 6
Multi-Carrier Spread Spectrum 9
The Aim of This Book 11
References 12

1 Fundamentals 15
1.1 Radio Channel Characteristics 15
1.1.1 Understanding Radio Channels 15
1.1.2 Channel Modeling 16
1.1.3 Channel Fade Statistics 18
1.1.4 Inter-Symbol (ISI) and Inter-Channel Interference (ICI) 19
1.1.5 Examples of Discrete Multi-Path Channel Models 20
1.1.6 Multi-Carrier Channel Modeling 25
1.1.7 Diversity 26
1.2 Multi-Carrier Transmission 28
1.2.1 Orthogonal Frequency Division Multiplexing (OFDM) 29
1.2.2 Advantages and Drawbacks of OFDM 34
1.2.3 Applications and Standards 35
1.3 Spread Spectrum Techniques 36
1.3.1 Direct Sequence Code Division Multiple Access 38
1.3.2 Advantages and Drawbacks of DS-CDMA 41
1.3.3 Applications of Spread Spectrum 42
1.4 Multi-Carrier Spread Spectrum 46
1.4.1 Principle of Various Schemes 47
1.4.2 Advantages and Drawbacks 49
1.4.3 Examples of Future Application Areas 49
References 50
# 2 MC-CDMA and MC-DS-CDMA

## 2.1 MC-CDMA
- **2.1.1 Signal Structure**
- **2.1.2 Downlink Signal**
- **2.1.3 Uplink Signal**
- **2.1.4 Spreading Techniques**
- **2.1.5 Detection Techniques**
- **2.1.6 Pre-Equalization**
- **2.1.7 Combined Equalization**
- **2.1.8 Soft Channel Decoding**
- **2.1.9 Flexibility in System Design**
- **2.1.10 Performance Analysis**

## 2.2 MC-DS-CDMA
- **2.2.1 Signal Structure**
- **2.2.2 Downlink Signal**
- **2.2.3 Uplink Signal**
- **2.2.4 Spreading**
- **2.2.5 Detection Techniques**
- **2.2.6 Performance Analysis**

## References

# 3 Hybrid Multiple Access Schemes

## 3.1 Introduction

## 3.2 Multi-Carrier FDMA
- **3.2.1 Orthogonal Frequency Division Multiple Access (OFDMA)**
- **3.2.2 OFDMA with Code Division Multiplexing: SS-MC-MA**
- **3.2.3 Distributed DFT-Spread OFDM: Interleaved FDMA (IFDMA)**
- **3.2.4 Localized DFT-Spread OFDM**

## 3.3 Multi-Carrier TDMA

## 3.4 Ultra Wideband Systems
- **3.4.1 Pseudo-Random PPM UWB Signal Generation**
- **3.4.2 UWB Transmission Schemes**

## 3.5 Comparison of Hybrid Multiple Access Schemes

## References

# 4 Implementation Issues

## 4.1 Multi-Carrier Modulation and Demodulation
- **4.1.1 Pulse Shaping in OFDM**
- **4.1.2 Digital Implementation of OFDM**
- **4.1.3 Virtual Sub-Carriers and DC Sub-Carrier**
- **4.1.4 D/A and A/D Conversion, I/Q Generation**

## 4.2 Synchronization
- **4.2.1 General**
- **4.2.2 Effects of Synchronization Errors**
- **4.2.3 Maximum Likelihood Parameter Estimation**
- **4.2.4 Time Synchronization**
- **4.2.5 Frequency Synchronization**
- **4.2.6 Automatic Gain Control (AGC)**

## 4.3 Channel Estimation
- **4.3.1 Two-Dimensional Channel Estimation**

## References
4.3.2 One-Dimensional Channel Estimation 159
4.3.3 Filter Design 159
4.3.4 Implementation Issues 160
4.3.5 Performance Analysis 162
4.3.6 Time Domain Channel Estimation 168
4.3.7 Decision Directed Channel Estimation 168
4.3.8 Blind and Semi-Blind Channel Estimation 169
4.3.9 Channel Estimation in MC-SS Systems 170
4.3.10 Channel Estimation in MIMO-OFDM Systems 174

4.4 Channel Coding and Decoding 174
4.4.1 Punctured Convolutional Coding 175
4.4.2 Concatenated Convolutional and Reed Solomon Coding 175
4.4.3 Turbo Coding 178
4.4.4 Low Density Parity Check (LDPC) Codes 182
4.4.5 OFDM with Code Division Multiplexing: OFDM-CDM 186

4.5 Signal Constellation, Mapping, De-Mapping, and Equalization 187
4.5.1 Signal Constellation and Mapping 187
4.5.2 Equalization and De-Mapping 189

4.6 Adaptive Techniques in Multi-Carrier Transmission 190
4.6.1 Nulling of Weak Sub-Carriers 191
4.6.2 Adaptive Channel Coding and Modulation 191
4.6.3 Adaptive Power Control 192

4.7 RF Issues 192
4.7.1 Phase Noise 193
4.7.2 Non-linearities 197
4.7.3 Narrowband Interference Rejection in MC-CDMA 206
4.7.4 Link Budget Evaluation 208

References 210

5 Applications 215
5.1 Introduction 215
5.2 3GPP Long Term Evolution (LTE) 218
5.2.1 Introduction 218
5.2.2 Requirements on LTE 219
5.2.3 Radio Access Network (RAN) Architecture 220
5.2.4 Radio Protocol Architecture 220
5.2.5 Downlink Transmission Scheme 221
5.2.6 Uplink Transmission Scheme 227
5.2.7 Physical Layer Procedures 231
5.2.8 Supported Bandwidths 232
5.2.9 Frequency Bands 233
5.2.10 Spectrum Masks 234
5.2.11 Performance 235
5.3 WiMAX 237
5.3.1 Scope 237
5.3.2 From IEEE 802.16x and ETSI BRAN HIPERMAN Towards WiMAX 239
5.3.3 System Architecture 242
5.3.4 Broadband Wireless Access Standards: HIPERMAN and IEEE 802.16x 243
5.3.5 Transmit Diversity / MIMO in WiMAX 263
5.3.6 WiMAX Profiles 267
5.3.7 Performance 271
5.4 Future Mobile Communications Concepts and Field Trials 276
  5.4.1 Objectives 276
  5.4.2 Network Topology and Basic Concept 276
  5.4.3 Experiments and Field Trials 276
  5.4.4 VSF-OFCDM Access Scheme 277
  5.4.5 System Parameters 278
5.5 Wireless Local Area Networks 283
  5.5.1 Network Topology 283
  5.5.2 Channel Characteristics 283
  5.5.3 IEEE 802.11a 284
  5.5.4 Transmission Performance 286
5.6 Interaction Channel for DVB-T: DVB-RCT 287
  5.6.1 Network Topology 288
  5.6.2 Channel Characteristics 290
  5.6.3 Multi-Carrier Uplink Transmission 290
  5.6.4 Transmission Performance 296
References 297

6 Additional Techniques for Capacity and Flexibility Enhancement 301
  6.1 Introduction 301
  6.2 MIMO Overview 302
    6.2.1 BLAST Architecture 303
    6.2.2 Space–Time Coding 304
    6.2.3 Achievable Capacity 307
  6.3 Diversity Techniques for Multi-Carrier Transmission 308
    6.3.1 Transmit Diversity 308
    6.3.2 Receive Diversity 313
    6.3.3 Transmit/Receive Diversity Performance Analysis 314
    6.3.4 Space–Frequency Block Codes (SFBC) 317
    6.3.5 SFBC Performance Analysis 319
  6.4 Spatial Pre-Coding for Multi-Carrier Transmission 321
    6.4.1 Spatial Phase Coding (SPC) 323
    6.4.2 Selection Diversity (SD) 325
    6.4.3 Equal Gain Transmission (EGT) 326
    6.4.4 Maximum Ratio Transmission (MRT) 326
    6.4.5 Performance Analysis 326
  6.5 Software-Defined Radio 331
    6.5.1 General 332
    6.5.2 Basic Concept 333
    6.5.3 MC-CDMA-Based Software-Defined Radio 335
References 337

Definitions, Abbreviations, and Symbols 339
  Definitions 339
  Abbreviations 342
  Symbols 349

Index 353