

CONTENTS

| | | |
|------------------------|---|-------------|
| Preface | | xiii |
| Acknowledgments | | xv |
| Chapter 1 | A Brief Review of the Basic Equations | 1 |
| 1.1 | The Equations of Classical Mechanics, Application to Lattice Vibrations | 2 |
| 1.2 | The Equations of Quantum Mechanics | 9 |
| Chapter 2 | The Symmetry of the Crystal Lattice | 19 |
| 2.1 | Crystal Structures of Silicon and GaAs | 19 |
| 2.2 | Elements of Group Theory | 22 |
| 2.2.1 | Point Group | 22 |
| 2.2.2 | Translational Invariance | 26 |
| 2.3 | Bragg Reflection | 29 |
| Chapter 3 | The Theory of Energy Bands in Crystals | 33 |
| 3.1 | Coupling Atoms | 33 |
| 3.2 | Energy Bands by Fourier Analysis | 34 |
| 3.3 | Equations of Motion in a Crystal | 42 |
| 3.4 | Maxima of Energy Bands—Holes | 46 |
| 3.5 | Summary of Important Band-Structure Parameters | 50 |
| 3.6 | Band Structure of Alloys | 50 |
| Chapter 4 | Imperfections of Ideal Crystal Structure | 57 |
| 4.1 | Shallow Impurity Levels—Dopants | 58 |
| 4.2 | Deep Impurity Levels | 60 |
| 4.3 | Dislocations, Surfaces, and Interfaces | 62 |

| | | |
|-------------------|--|------------|
| Chapter 5 | Equilibrium Statistics for Electrons and Holes | 67 |
| 5.1 | Density of States | 67 |
| 5.2 | Probability of Finding Electrons in a State | 73 |
| 5.3 | Electron Density in the Conduction Band | 75 |
| Chapter 6 | Self-Consistent Potentials and Dielectric Properties | 81 |
| 6.1 | Screening and the Poisson Equation in One Dimension | 82 |
| 6.2 | Self-Consistent Potentials and the Dielectric Function | 83 |
| Chapter 7 | Scattering Theory | 89 |
| 7.1 | General Considerations—Drude Theory | 89 |
| 7.2 | Scattering Probability from the Golden Rule | 94 |
| 7.2.1 | Impurity Scattering | 94 |
| 7.2.2 | Phonon Scattering | 96 |
| 7.2.3 | Scattering by a δ -Shaped Potential | 102 |
| 7.3 | Important Scattering Mechanisms in Silicon and Gallium Arsenide | 103 |
| Chapter 8 | The Boltzmann Transport Equation | 109 |
| 8.1 | Derivation | 109 |
| 8.2 | Solutions of the Boltzmann Equation in the Relaxation Time Approximation | 114 |
| 8.3 | Distribution Function and Current Density | 121 |
| 8.4 | Effect of Temperature Gradients and Gradients of the Band Gap Energy | 125 |
| 8.5 | Ballistic and Quantum Transport | 127 |
| 8.6 | The Monte Carlo Method | 129 |
| Chapter 9 | Generation-Recombination | 135 |
| 9.1 | Important Matrix Elements | 135 |
| 9.1.1 | Radiative Recombination | 135 |
| 9.1.2 | Auger Recombination | 139 |
| 9.2 | Quasi-Fermi Levels (Imrefs) | 139 |
| 9.3 | Generation-Recombination Rates | 140 |
| 9.4 | Rate Equations | 144 |
| Chapter 10 | The Heterojunction Barrier | 147 |
| 10.1 | Thermionic Emission of Electrons over Barriers | 147 |

| | | |
|-------------------|---|------------|
| 10.2 | Free Carrier Depletion of Semiconductor Layers | 151 |
| 10.3 | Connection Rules for the Potential at an Interface | 153 |
| 10.4 | Solution of Poisson's Equation in the Presence of Free Charge Carriers | 154 |
| 10.4.1 | Classical Case | 154 |
| 10.4.2 | Quantum Mechanical Case | 157 |
| 10.5 | Pronounced Effects of Size Quantization and Heterolayer Boundaries | 162 |
| Chapter 11 | The Device Equations of Shockley and Stratton | 167 |
| 11.1 | The Method of Moments | 167 |
| 11.2 | Moment for the Average Energy and Hot Electrons | 170 |
| 11.2.1 | Steady-State Considerations | 171 |
| 11.2.2 | Velocity Transients and Overshoot | 175 |
| 11.2.3 | Equation of Poisson and Carrier Velocity | 176 |
| Chapter 12 | Numerical Device Simulations | 181 |
| 12.1 | General Considerations | 181 |
| 12.2 | Numerical Solution of the Shockley Equations | 184 |
| 12.2.1 | Numerical Simulation Beyond the Shockley Equations | 188 |
| Chapter 13 | Diodes | 193 |
| 13.1 | Schottky Barriers—Ohmic Contacts | 194 |
| 13.2 | The p - n Junction | 201 |
| 13.2.1 | Introduction and Basic Physics | 201 |
| 13.2.2 | Basic Equations for the Diode Current | 207 |
| 13.2.3 | Steady-State Current in Forward Bias | 211 |
| 13.2.4 | AC Carrier Concentrations and Current in Forward Bias | 213 |
| 13.2.5 | Short Diodes | 215 |
| 13.2.6 | Recombination in Depletion Region | 216 |
| 13.2.7 | Extreme Forward Bias | 219 |
| 13.2.8 | Asymmetric Junctions | 221 |
| 13.2.9 | Effects in Reverse Bias | 223 |
| 13.3 | High-Field Effects in Semiconductor Junctions | 226 |
| 13.3.1 | Role of Built-In Fields in Electron Heating and p - n Junction Currents | 226 |

| | | |
|-------------------|--|------------|
| 13.3.2 | Impact Ionization in p - n Junctions | 229 |
| 13.3.3 | Zener Tunneling | 236 |
| 13.3.4 | Real Space Transfer | 240 |
| 13.4 | Negative Differential Resistance and Semiconductor Diodes | 241 |
| Chapter 14 | Laser Diodes | 247 |
| 14.1 | Basic Geometry and Equations for Quantum Well Laser Diodes | 248 |
| 14.2 | Equations for Electronic Transport | 250 |
| 14.3 | Coupling of Carriers and Photons | 253 |
| 14.4 | Numerical Solutions of the Equations for Laser Diodes | 257 |
| Chapter 15 | Transistors | 265 |
| 15.1 | Simple Models | 266 |
| 15.1.1 | Bipolar Transistors | 266 |
| 15.1.2 | Field Effect Transistors | 272 |
| 15.2 | Effects of Reduction in Size, Short Channels | 278 |
| 15.2.1 | Scaling Down Devices | 278 |
| 15.2.2 | Short Gates and Threshold Voltage | 279 |
| 15.3 | Hot Electron Effects | 281 |
| 15.3.1 | Mobility in Small MOSFETs | 281 |
| 15.3.2 | Impact Ionization, Hot Electron Degradation | 284 |
| Chapter 16 | Future Semiconductor Devices | 291 |
| 16.1 | New Types of Devices | 291 |
| 16.1.1 | Extensions of Conventional Devices | 291 |
| 16.1.2 | Future Devices for Ultrahigh Integration | 293 |
| 16.2 | Challenges in Nanostructure Simulation | 295 |
| 16.2.1 | Nanostructures in Existing Semiconductor Devices | 296 |
| 16.2.2 | Quantum Dots | 297 |
| 16.2.3 | Structural, Atomistic, and Many-Body Effects | 297 |
| Appendix A | Tunneling and the Golden Rule | 301 |
| Appendix B | The One Band Approximation | 305 |

| | |
|---|------------|
| Contents | xi |
| Appendix C Temperature Dependence of the Band Structure | 307 |
| Appendix D Hall Effect and Magnetoresistance | 309 |
| Appendix E The Power Balance Equation | 311 |
| Appendix F The Self-Consistent Potential at a Heterojunction | 315 |
| Appendix G Schottky Barrier Transport | 317 |
| Index | 321 |
| About the Author | 333 |