

Contents

Foreword by Stephen Hayes xvii

Foreword by Allison Mankin and Jon Peterson xix

About the Authors xxi

Preface to the Second Edition xxiii

Preface to the First Edition xxv

Acknowledgements xxvii

Part I Introduction to the IMS 1

1 IMS Vision: Where Do We Want to Go? 5

1.1 The Internet 5

1.2 The Cellular World 6

1.3 Why do we need the IMS? 6

1.4 Relation between IMS and non-IMS Services 8

2 The History of the IMS Standardization 9

2.1 Relations between IMS-related Standardization Bodies 9

2.2 Internet Engineering Task Force 10

2.2.1 Structure of the IETF 10

2.2.2 Working Group Operations 11

2.2.3 Types of RFCs 12

2.3 Third Generation Partnership Project 13

2.3.1 3GPP Structure 14

2.3.2 3GPP Deliverables 15

2.4 Third Generation Partnership Project 2 16

2.4.1 3GPP2 Structure 16

2.4.2 3GPP2 Deliverables 16

2.5 IETF-3GPP/3GPP2 Collaboration 17

2.5.1 Internet Area 18

2.5.2 Operations and Management Area 18

2.5.3 Transport Area 19

2.6	Open Mobile Alliance	19
2.6.1	OMA Releases and Specifications	20
2.6.2	Relationship between OMA and 3GPP/3GPP2	21
2.6.3	Relationship between OMA and the IETF	22
3	General Principles of the IMS Architecture	23
3.1	From Circuit-switched to Packet-switched	23
3.1.1	GSM Circuit-switched	23
3.1.2	GSM Packet-switched	24
3.2	IMS Requirements	24
3.2.1	IP Multimedia Sessions	25
3.2.2	QoS	25
3.2.3	Interworking	26
3.2.4	Roaming	26
3.2.5	Service Control	26
3.2.6	Rapid Service Creation	27
3.2.7	Multiple Access	27
3.3	Overview of Protocols used in the IMS	27
3.3.1	Session Control Protocol	27
3.3.2	The AAA Protocol	28
3.3.3	Other Protocols	29
3.4	Overview of IMS Architecture	29
3.4.1	The Databases: the HSS and the SLF	30
3.4.2	The CSCF	31
3.4.3	The AS	33
3.4.4	The MRF	35
3.4.5	The BGCF	35
3.4.6	The IMS-ALG and the TrGW	35
3.4.7	The PSTN/CS Gateway	36
3.4.8	Home and Visited Networks	38
3.5	Identification in the IMS	39
3.5.1	Public User Identities	40
3.5.2	Private User Identities	40
3.5.3	The Relation between Public and Private User Identities	41
3.5.4	Public Service Identities	42
3.6	SIM, USIM, and ISIM in 3GPP	42
3.6.1	SIM	43
3.6.2	USIM	43
3.6.3	ISIM	45
Part II	The Signaling Plane in the IMS	47
4	Session Control on the Internet	51
4.1	SIP Functionality	51
4.1.1	Session Descriptions and SDP	51
4.1.2	The Offer/Answer Model	52
4.1.3	SIP and SIPS URIs	53
4.1.4	User Location	54

4.2	SIP Entities	55
4.2.1	Forking Proxies	57
4.2.2	Redirect Servers	58
4.3	Message Format	59
4.4	The Start Line in SIP Responses: the Status Line	59
4.5	The Start Line in SIP Requests: the Request Line	60
4.6	Header Fields	60
4.7	Message Body	62
4.8	SIP Transactions	63
4.9	Message Flow for Session Establishment	64
4.10	SIP Dialogs	67
4.10.1	Record-Route, Route, and Contact Header Fields	70
4.11	Extending SIP	70
4.11.1	New Methods	72
4.12	Caller Preferences and User Agent Capabilities	72
4.13	Reliability of Provisional Responses	73
4.14	Preconditions	76
4.15	Event Notification	77
4.15.1	High Notification Rates	79
4.16	Signaling Compression	80
4.16.1	SigComp Extended Operations	81
4.16.2	Static SIP/SDP Dictionary	82
4.17	Content Indirection	82
4.18	The REFER Method	85
5	Session Control in the IMS	87
5.1	Prerequisites for Operation in the IMS	88
5.2	IPv4 and IPv6 in the IMS	89
5.3	IP Connectivity Access Network	90
5.4	P-CSCF Discovery	91
5.5	IMS-level Registration	93
5.5.1	IMS Registration with an ISIM	93
5.5.2	IMS Registration with a USIM	101
5.6	Subscription to the reg Event State	106
5.7	Basic Session Setup	109
5.7.1	The IMS Terminal Sends an INVITE Request	113
5.7.2	The Originating P-CSCF Processes the INVITE Request	120
5.7.3	The Originating S-CSCF Processes the INVITE Request	121
5.7.4	The Terminating I-CSCF Processes the INVITE Request	125
5.7.5	The Terminating S-CSCF Processes the INVITE Request	126
5.7.6	The Terminating P-CSCF Processes the INVITE Request	129
5.7.7	The Callee's Terminal Processes the INVITE Request	129
5.7.8	Processing the 183 Response	133
5.7.9	The Caller's IMS Terminal Processes the 183 Response	133
5.7.10	The Callee's IMS Terminal Processes the PRACK request	134
5.7.11	Alerting the Callee	137

5.8	Application Servers: Providing Services to Users	142
5.8.1	Generalities about Application Servers	142
5.8.2	Types of Application Servers	143
5.8.3	The Session Setup Model through Application Servers	147
5.8.4	Filter Criteria	155
5.8.5	An Example of Service Execution	159
5.9	Interworking	162
5.9.1	SIP–PSTN Interworking	162
5.9.2	Interworking with Non-IMS SIP-based Networks	165
5.10	Emergency Sessions	169
6	AAA on the Internet	171
6.1	Authentication, Authorization, and Accounting	171
6.2	AAA Framework on the Internet	171
6.3	The Diameter Protocol	173
6.3.1	Diameter Sessions	174
6.3.2	The Format of a Diameter Message	175
6.3.3	Attribute Value Pairs	176
6.3.4	The AAA and AAAS URIs	177
6.3.5	Diameter Base Protocol Commands	178
6.3.6	Diameter Base Protocol AVPs	180
7	AAA in the IMS	183
7.1	Authentication and Authorization in the IMS	183
7.2	The <i>Cx</i> and <i>Dx</i> Interfaces	183
7.2.1	Command Codes Defined in the Diameter Application for the <i>Cx</i> Interface	185
7.2.2	AVPs Defined in the Diameter Application for the <i>Cx</i> Interface	188
7.2.3	The User Profile	192
7.3	The <i>Sh</i> Interface	194
7.3.1	Command Codes Defined in the Diameter Application for the <i>Sh</i> Interface	195
7.3.2	AVPs Defined in the Diameter Application for the <i>Sh</i> Interface	197
7.4	Accounting	198
7.5	Charging Architecture	198
7.6	Offline Charging	200
7.6.1	IMS Terminal in a Visited Network	200
7.6.2	IMS Terminal in its Home Network	202
7.6.3	The <i>Rf</i> Interface	205
7.7	Online Charging	206
7.7.1	S-CSCF	206
7.7.2	Application Servers and the MRFC	207

8	Security on the Internet	213
8.1	HTTP Digest	213
8.1.1	Security Properties of Digest	215
8.2	Certificates	216
8.3	TLS	216
8.3.1	SIP Usage	217
8.4	S/MIME	218
8.4.1	Self-signed Certificates	220
8.5	Authenticated Identity Body	221
8.6	IPsec	224
8.6.1	ESP and AH	224
8.6.2	Tunnel and Transport Modes	226
8.6.3	Internet Key Exchange	226
8.7	Privacy	227
8.8	Encrypting Media Streams	228
8.8.1	MIKEY	228
9	Security in the IMS	231
9.1	Access Security	231
9.1.1	Authentication and Authorization	231
9.1.2	Authentication and Authorization with ISIM	232
9.1.3	Authentication and Authorization with USIM	234
9.1.4	Security Association Establishment	235
9.2	Network Security	237
10	Policy on the Internet	239
10.1	The COPS Protocol	239
10.2	The Outsourcing Model	241
10.3	The Configuration Model	242
11	Policy in the IMS	245
11.1	SIP Procedures	245
11.2	Media Authorization	246
11.2.1	The Policy Decision Function	247
11.2.2	Media Authorization Token	247
11.3	Proxy Access to SDP Bodies	250
11.4	Initialization Procedure	250
12	Quality of Service on the Internet	251
12.1	Integrated Services	251
12.1.1	RSVP	251
12.1.2	State in the Network	253
12.2	Differentiated Services	253

13	Quality of Service in the IMS	255
13.1	Instructions to Perform Resource Reservations	255
13.1.1	Proxy Modifying Bodies	257
13.2	Reservations by the Terminals	257
13.3	Network Authorization	259
13.4	QoS in the Network	259
Part III	The Media Plane in the IMS	261
14	Media Encoding	265
14.1	Speech Encoding	265
14.1.1	Pulse Code Modulation	266
14.1.2	Linear Prediction	267
14.1.3	GSM-FR	268
14.1.4	AMR	269
14.1.5	AMR-WB	272
14.1.6	SMV	272
14.2	Video Encoding	277
14.2.1	Common Video Codecs	278
14.2.2	H.263	279
14.2.3	Image Encoding	279
14.2.4	Temporal Correlation	279
14.2.5	Spatial Correlation	280
14.3	Text Encoding	280
14.4	Mandatory Codecs in the IMS	281
15	Media Transport	283
15.1	Reliable Media Transport	283
15.2	Unreliable Media Transport	284
15.2.1	DCCP	284
15.2.2	RTP	285
15.2.3	RTCP	287
15.2.4	SRTP	288
15.3	Media Transport in the IMS	288
Part IV	Building Services with the IMS	291
16	The Presence Service on the Internet	295
16.1	Overview of the Presence Service	295
16.1.1	The <i>pres</i> URI	296
16.2	The Presence Life Cycle	297
16.3	Presence Information Data Format	298
16.3.1	Contents of the PIDF	299
16.4	The Presence Data Model for SIP	299
16.5	Mapping the SIP Presence Data Model to the PIDF	301

16.6	Rich Presence Information Data Format	303
16.6.1	Contents of the RPID	303
16.7	CIPID	304
16.8	Timed Presence Extension to the PIDF	306
16.9	Presence Capabilities	307
16.9.1	Service Capabilities	308
16.9.2	Device Capabilities	309
16.9.3	An Example of the Presence Capabilities Document	309
16.10	Presence Publication	311
16.11	Presence Subscription and Notification	312
16.12	Watcher Information	312
16.13	URI-list Services and Resource Lists	314
16.14	XML Configuration Access Protocol	317
16.14.1	XCAP Application Usage	318
16.15	Presence Optimizations	319
16.15.1	Partial Notification of Presence Information	319
16.15.2	Event Notification Filtering	320
17	The Presence Service in the IMS	323
17.1	The Foundation of Services	323
17.2	Presence Architecture in the IMS	323
17.3	Watcher Subscription	325
17.4	Subscription to Watcher Information	326
17.5	Presence Publication	326
17.6	Presence Optimizations	327
17.7	The <i>Ut</i> Interface	328
18	Instant Messaging on the Internet	329
18.1	The <i>im</i> URI	329
18.2	Modes of Instant Messages	330
18.3	Pager-mode Instant Messaging	330
18.3.1	Congestion Control with MESSAGE	330
18.4	Session-based Instant Messaging	331
18.4.1	The MSRP and MSRPS URLs	332
18.4.2	MSRP Overview	332
18.4.3	Extensions to SDP due to MSRP	333
18.4.4	MSRP Core Functionality	336
18.4.5	Status and Reports	337
18.4.6	MSRP Relays	340
19	The Instant Messaging Service in the IMS	345
19.1	Pager-mode Instant Messaging in the IMS	345
19.2	Session-based Instant Messaging in the IMS	346

20 Push-to-Talk over Cellular 351

- 20.1 PoC Standardization 351
- 20.2 IETF Work Relevant to PoC 352
 - 20.2.1 URI-list Services 352
 - 20.2.2 Event Package for PoC Settings 355
 - 20.2.3 SIP Header Fields 357
- 20.3 Architecture 357
- 20.4 Registration 359
- 20.5 PoC Server Roles 359
- 20.6 PoC Session Types 360
 - 20.6.1 One-to-one PoC Sessions 361
 - 20.6.2 Ad-hoc PoC Group 362
 - 20.6.3 Pre-arranged PoC Group 363
 - 20.6.4 Chat PoC Group 363
- 20.7 Adding Users to a PoC Session 365
- 20.8 Group Advertisements 366
- 20.9 Session Establishment Types 366
- 20.10 Answer Modes 367
- 20.11 Right-to-speak Indication Types 369
- 20.12 Participant Information 370
- 20.13 Barring and Instant Personal Alerts 370
- 20.14 The User Plane 371
 - 20.14.1 Media Encoding 371
 - 20.14.2 Talk Burst Control Protocol 371
- 20.15 Simultaneous PoC Sessions 375

21 Next Generation Networks 377

- 21.1 NGN Overview 377
- 21.2 The Core IMS in NGN 379
 - 21.2.1 New SIP Functionality 382
 - 21.2.2 Unneeded Functionality 382
- 21.3 PSTN/ISDN Simulation Services 383
 - 21.3.1 Communication Diversion (CDIV) and Communication Forwarding 383
 - 21.3.2 Conference (CONF) 383
 - 21.3.3 Message Waiting Indication (MWI) 385
 - 21.3.4 Originating Identification Presentation/Restriction (OIP, OIR) . . . 385
 - 21.3.5 Terminating Identification Presentation/Restriction (TIP, TIR) . . . 385
 - 21.3.6 Communication Waiting (CW) 386
 - 21.3.7 Communication Hold (HOLD) 386
 - 21.3.8 Anonymous Communication Rejection (ACR) and Communication Barring (CB) 386
 - 21.3.9 Advice of Charge (AoC) 386
 - 21.3.10 Completion of Communications to Busy Subscriber (CCBS) and Completion of Communications on No Reply (CCNR) 387
 - 21.3.11 Malicious Communication Identification (MCID) 387
 - 21.3.12 Explicit Communication Transfer (ECT) 387
 - 21.3.13 User Settings in PSTN/ISDN Simulation Services 388

Appendix A The 3GPP2 IMS 389

- A.1 An Introduction to 3GPP2 389
- A.2 The Multimedia Domain (MMD) 389
- A.3 Architecture of the 3GPP2 IMS 390

Appendix B List of IMS-related Specifications 393

- B.1 Introduction 393
- B.2 3GPP Specifications 393
- B.3 3GPP2 Specifications 394
- B.4 ETSI NGN Specifications 394

References 401

Index 419

