

Contents

Preface V

List of Contributors XIX

I Nanotechnology Research Funding and Commercialization Prospects

U.S. National Nanotechnology Initiative: Planning for the Next Five Years 3

Mihail C. Roco

- 1 Introduction 3
- 2 Government R&D Investments 4
- References* 9

Technological Marketing for Early Nanotechnologies 11

Murielle Batude-Thibierge

- 1 Introduction 11
- 1.1 Managerial Synthesis with Recommendations 12
- 1.2 Working Definitions 13
- 1.2.1 Nanotechnology Product 13
- 1.2.2 Innovation 14
- 1.2.3 Technological Function 14
- 1.2.4 Application 14
- 1.2.5 Market Segmentation 14
- 1.2.6 Translation Process 14
- 1.2.7 Collective Learning Process 14
- 1.3 Setting the Scene 15
- 1.4 “Raison d’être” of Marketing, Especially for Nanotechnologies at Early Stages 16
- 1.5 “Raison d’être” of Management Thinking and Strategic Planning for Nanotechnologies 16
- 1.6 Problematic Nanotechnologies 17
- 1.6.1 Discovery 18
- 1.6.2 Integration 18

1.6.3	Exploitation	18
2	Marketing for a Nanotechnological Innovation	19
2.1	Marketing Study Budget	19
2.2	Collecting Information for Marketing Tools	20
2.3	Technical Analysis	21
2.3.1	Components	21
2.3.2	Functions	22
2.3.3	Matrix of Functions and Applications	22
2.3.4	Value Analysis	22
2.3.5	Technological Competition	23
2.4	Commercial Analysis	23
2.4.1	Influence Matrix and Drive/Dependence Matrix	24
2.4.2	Customer Behavior Towards Innovation	24
2.4.3	Analysis of Key Commercial Success Factors	24
2.5	Defining a Price	25
2.5.1	The Cost Comparison Study: Step 1	25
2.5.2	The Cost Comparison Study: Step 2	25
2.6	Quantified Diagnostic and Simulations	26
2.6.1	Simulations on the Diagnostic Matrix	26
2.6.2	Exploitation of the Diagnostic Matrix	27
3	Management Thinking and Strategic Planning for Small Nanotechnology Businesses	27
3.1	Strategic Planning: from Segment Action Plan to Business Action Plan	27
3.2	Co-Developing with a Big Player	27
3.2.1	The Six Sigma Method to Stimulate a Disruptive Change	28
3.2.2	Management Tools for Change	28
3.3	Mastering the Translation Process	30
3.3.1	Example	30
3.3.2	Nanotechnology Team Building	31
3.4	Formulating a Strategy	31
3.5	Implementing the Strategy	31
4	Conclusions	32
5	Appendix	32
	References	33

**Asia–Pacific Nanotechnology: Research, Development, and
Commercialization** 35

Lerwen Liu

1	Nanotechnology Funding in the Asia–Pacific Region	35
2	Commercialization Efforts	39
3	Private Investment in Nanotechnology	40
4	Advantages of Collaborating with Asians	41
5	Appendix	42

Cooperation with Small- and Medium-Sized Enterprises Boosts Commercialization 49*Torsten Schmidt*

- 1 The Company 49
- 2 Scope 49
- 3 Proposition: In Current Technology Markets, Commercial Success of New Product Ideas Evolves from Cooperation 51
- 4 Proposition: Innovations Get on a Fast Track to Market if Implemented in SME 54
- 5 Proposition: Competencies of SME and Inventors are Complementary Rather than Competing 55
- 6 Summary 57
- References 57

Rapid Commercialization of Nanotechnology in Japan: from Laboratory to Business 59*Hiromichi Maeno*

- 1 Background: Japan at the Crossroads 59
- 2 Motivation and Strategy: Shake up Unique People 59
- 3 Research and Development of a New Idea 60
- 4 Pump Priming and Leadership 61
- 5 Nanotechnology Activities 61
- 5.1 Bio Nanotec Research Institute, Inc. (BNRI): Zeolite Membranes 61
- 5.2 Carbon Nanotech Research Institute, Inc. (CNRI): Clean Single-Walled Carbon Nanotubes 62
- 5.3 Device Nanotech Research Institute, Inc. (DNRI) 63
- 5.3.1 R&D Projects at DNRI 63
- 5.3.2 DNRI Nanoimprinting Technology Load Map 64
- 5.3.3 Photonic Crystal Waveguides for Controlling Chromatic Dispersion 64
- 5.4 Ecology Nanotech Research Institute (ENRI): Metallofullerene 66
- 5.5 INRI, Inc. (for Intellectual Property): Approach and Strategy 68
- 6 Conclusions 68

Nanomaterials and Smart Medical Devices 71*Ottilia Saxl*

- 1 Introduction 71
- 2 Why are we Seeing Advances Now? 72
- 3 Conclusion 75

II Fundamentals and Technology

Bridging Dimensional and Microstructural Scaling Effects 79

Uwe Erb, Cedric Cheung, Mohammadreza Baghbanan, and Gino Palumbo

- 1 Introduction 79
- 2 Nanocrystalline Materials 80
- 3 Nano/Microsystem Technology 81
- 4 Present Gap Between Nanomaterials and Nano/Microsystem Technology 82
- 5 Bridging Dimensional and Microstructural Scaling Effects 84
- 6 Conclusions 87
- References 88*

Bridging the Gap between Nanometer and Meter 89

Matthias Meyer, Jürgen Koglin, and Thomas Fries

- 1 Introduction 89
- 2 Motivation 89
- 3 Bridging the Gap 91
- 4 Examples of Measurement 94
- 4.1 Structured Si Wafer 94
- 4.2 Bump Measurements 97
- 4.3 LED Housing 99
- 4.4 Film Thickness Measurement 100
- 4.5 Elastic Properties with AFAM 102
- References 105*

Nanometer-Scale View of the Electrified Interface:

Scanning Probe Microscopy Study 107

Peter Müller, Laura Rossi, and Santos F. Alvarado

- 1 Introduction 107
- 2 STM z - V Spectroscopy 109
- 3 Experimental Details 113
- 3.1 Alq₃ Thin Films on Au(111) 113
- 3.2 CuPc Thin Films on Au(111) 114
- 4 Concluding Remarks 116
- References 117*

New Technology for an Application-Specific Lab-on-a-Chip 119

Heike Schäfer, Steffen Chemnitz, Konstantin Seibel, Volodymyr Koziy, Alexander Fischer, Dietmar Ehrhardt, and Markus Böhm

- 1 Introduction 119
- 2 Fabrication Technologies 121
- 3 Experimental Results 124

3.1	Amorphous Silicon Pin-Diodes	124
3.2	Amorphous Silicon Thin Film Transistors	130
3.3	Microfluidic Devices	132
4	Conclusions	135
	References	136

Impact of Nanoscience on Heterogeneous Catalysis 139

Sharifah Bee Abd Hamid and Robert Schlögl

1	Introduction	139
2	Nanotechnology in Catalysis	139
3	Electronic Structure and Catalysis	140
4	Geometric Structure and Catalysis	141
5	Large Nano-Objects in Catalysis	143
6	The Semiconductor Approach	145
7	The Combicatal Approach	145
8	Conclusions	148
	References	149

Biomimetic Nanoscale Structures on Titanium 151

Ralf-Peter Franke

1	Introduction	151
2	Biocompatibility	152
	References	161

Microwave-Driven Hydrothermal Synthesis of Oxide Nanopowders for Applications in Optoelectronics 163

Witold Lojkowski, Agnieszka Opalinska, Tomasz Strachowski, Adam Presz, Stanislaw Gierlotka, Ewa Grzanka, Bogdan Palosz, Wieslaw Strek, Dariusz Hreniak, Larisa Grigorjeva, Donats Millers, Federica Bondioli, Cristina Leonelli, and Edward Reszke

1	Introduction	163
2	Experimental Methods	164
2.1	The Reactor for the Synthesis of Nanopowders	164
2.2	Hydrothermal Synthesis of ZnO, ZrO ₂ , and Zr _{1-x} Pr _x O ₂	165
2.3	Characterization of the Powders	165
2.4	Sol-Gel Synthesis of YAG doped with 1% Nd	166
2.5	Investigations of Luminescence Properties	166
2.6	Sintering	166
3	Results and Discussion	167
3.1	The Properties of the Powders	167
3.1.1	ZrO ₂ and Zr _{1-x} Pr _x O ₂ Powders	167
3.1.2	ZnO Powders	172

- 3.2 Investigations of Luminescence 173
 - 3.2.1 Luminescence of $Zr_{1-x}Pr_xO_2$ 173
 - 3.2.2 Cathodoluminescence of ZnO 175
- 3.3 Luminescence of Sintered and Not Sintered YAG Nanocrystals 177
- 4 Conclusions 177
 - References 178

New Approach to Improve the Piezoelectric Quality of ZnO Resonator Devices by Chemomechanical Polishing 181

Jyrki Molarius, Martin Kulawski, Tuomas Pensala, and Markku Ylilammi

- 1 Introduction 181
- 2 Experimental 184
- 3 Results and Discussion 185
- 4 Conclusions 192
 - References 193

Self-Assembled Semiconductor Nanowires 195

Theodore I. Kamins

- 1 Introduction 195
- 2 Growth 196
- 3 Positioning Nanowires 199
 - 3.1 Stability 200
- 4 Transistors and Sensors 201
 - 4.1 Interface Control and Insulator Material 201
 - 4.2 Device Stability 202
 - 4.3 Doping 202
 - 4.4 Device Physics 202
 - 4.5 Mobility 205
 - 4.6 Contacts 205
- 5 Conclusion 206
 - References 206

3D Nanofabrication of Rutile TiO_2 Single Crystals with Swift Heavy-Ions 207

Koichi Awazu, Ken-ichi Nomura, Makoto Fujimaki, and Yoshimichi Ohki

- 1 Introduction 207
- 2 Experimental 208
- 3 Results 209
- 4 Discussion 217
- 5 Conclusions 221
 - References 222

III Applications

Nanoparticles-Based Chemical Gas Sensors for Outdoor Air Quality Monitoring 227

Marie-Isabelle Baraton and Lhadi Merhari

- 1 Objectives 227
- 2 Current Status of Semiconductor Sensors 228
- 3 New Paradigms for the Advancement of Semiconductor Sensors 229
- 3.1 Advantage of Using Nanoparticles 229
- 3.2 Control of the Physical and Chemical Properties of Nanoparticles 229
- 3.3 Optimization of the Screen-Printing Process 230
- 4 Results 230
- 4.1 Characterization of Nanoparticles 230
- 4.2 Surface Chemistry of Nanoparticles 231
- 4.3 Rapid Screening of the Sensing Potential of the Nanoparticles 232
- 4.4 First Optimization Stage of the Screen-Printing Process 233
- 4.5 Second Optimization Stage of the Screen-Printing Process 234
- 5 Outlook 237
- References* 238

Amorphous Electrically Conducting Materials for Transducer Applications 239

Alex Dommann, Marco Cucinelli, Matthias Werner, and Marc-Aurele Nicolet

- 1 Introduction 239
- 2 Mictamict Alloys 239
- 3 Thin Films 240
- 4 Properties of Ta–Si–N Films 240
- 5 MEMS of Ta–Si–N Films 241
- 6 Surface Micromachining of Ta–Si–N Microbeams 241
- 7 X-Ray Analysis of Ta–Si–N Films 242
- 8 Ta–Si–N Thin Films as Diffusion Barriers for Cu Metallization 244
- References* 246

Commercial Applications of Diamond-Based Nano- and Microtechnology 247

Peter Gluche, André Flöter, Stephan Ertl, and Hans-Jörg Fecht

- 1 Introduction 247
- 1.1 Properties of Diamond 247
- 1.2 Synthesis of Diamond 248
- 2 Commercial Applications: Cutting Tools and Micromechanical Diamond Parts 251
- 2.1 Diamond Cutting Tools 252
- 2.1.1 Application 252
- 2.1.2 Novel Process: the Diamaze Blade 254
- 2.1.3 Advantages: Socio-economic Impact 256
- 2.1.4 Future Aspects 256

2.2	Diamond Micromechanical Parts	257
2.2.1	Application	257
2.2.2	Design	258
2.2.3	Production of Diamond Micromechanical Parts: Diamond-Toothed Wheels	258
2.2.4	Diamond Microgear	259
3	Summary	261
	References	262

Bio-Inspired Anti-reflective Surfaces by Imprinting Processes 263

Thomas Sawitowski, Norbert Beyer, and Frank Schulz

1	Introduction	263
2	Aluminum Oxide: Template and Lithographic Tool	263
3	Reflection of Light	267
4	Anti-reflective Coatings and Surface Structures	269
4.1	Plasma Coating	269
4.2	Porous Ceramics	270
4.3	Moth-Eye Structures	271
4.3.1	LIGA Technology	272
4.3.2	Nanoporous Alumina Tools (AlCoStruct)	273
5	Surface Wetting	276
6	Conclusions	278
	References	279

Preparation and Properties of MgO–Ni(Fe) Nanocrystalline Composites 281

Oldřich Schneweiss, Naděžda Pizúrová, Yvonna Jirásková, and Tomáš Žák

1	Introduction	281
2	Experimental	282
3	Results and Discussion	282
4	Conclusions	286
	References	287

Nanocrystalline Oxides Improve the Performances of Polymeric Electrolytes 289

Silvia Licoccia and Enrico Traversa

1	Introduction	289
2	Results and Discussion	290
2.1	Direct Methanol Fuel Cells	290
2.2	Lithium Ion Polymeric Batteries	293
2.3	Electrophysiological Measurements	295
3	Conclusions	299
	References	300

**Optimized Electromechanical Properties and Applications of Cellular Polypropylene,
a New Voided Space-Charge Electret Material** 303*Michael Wegener and Werner Wirges*

- 1 Introduction 303
- 2 Investigations on Cellular PP 304
 - 2.1 Film Preparation 304
 - 2.2 Electro-Active Properties 306
 - 2.3 Assessment of the Charging Process 306
 - 2.3.1 Dependence on the Charging Field: Threshold Behavior 306
 - 2.3.2 Independence from the Charging Method 307
 - 2.3.3 Dependence on the Charging Time 308
 - 2.3.4 Switching of Polarization 308
 - 2.3.5 Electroluminescence During Charging 309
 - 2.3.6 Influence of the Ambient Gas During Charging 309
 - 2.3.7 Summary of Charging Evaluation 310
- 3 Applications 310
 - 3.1 Proposed Electromechanical and Electroacoustical Transducer Concepts 310
 - 3.2 Control Panels with Pushbuttons Made of Cellular PP Electrets 311
 - 3.3 Concept for Vibration Control 312
 - 3.4 Concept for Active Noise Control 313
- 4 Conclusions 315
 - References* 316

Subject Index 319

