

Contents

Contributors	xiii
Preface	xv
1 Introduction to Mobile WiMAX	1
<i>Longsong Lin, and Kwang-Cheng Chen</i>	
1.1 IEEE 802.16	1
1.2 IEEE 802.16 MAC	3
1.3 IEEE 802.16e Mobile WiMAX	6
1.4 Mobile WiMAX End-to-End Network Architecture	9
References	10
Part One Physical Layer Transmission	13
2 An Analysis of MIMO Techniques for Mobile WiMAX Systems	15
<i>Bertrand Muquet, Ezio Biglieri, Andrea Goldsmith, and Hikmet Sari</i>	
2.1 Introduction	15
2.2 Multiple Antenna Systems	16
2.2.1 Antenna Array Techniques	17
2.2.2 Performance Tradeoffs	18
2.2.3 MIMO Systems	21
2.3 M Multiple Antennas in WiMAX Systems	22
2.3.1 Transmit Diversity	22
2.3.2 Spatial Multiplexing	24
2.3.3 Comparison of MIMO Options	25
2.4 Conclusion	29
References	29
3 Mitigation of Inter-Cell Interference in Mobile WiMAX	31
<i>Jae-Heung Yeom and Yong-Hwan Lee</i>	
3.1 Introduction	31
3.2 ICI Mitigation Techniques for OFDMA Systems	33
3.2.1 ICI Avoidance	33
3.2.2 ICI Randomization	34

3.2.3	<i>ICI Cancellation</i>	35
3.2.4	<i>Inter-Sector Cooperation</i>	35
3.3	Combined Use of ICI Mitigations in Mobile WiMAX	36
3.3.1	<i>Combined Use of IA and FH</i>	36
3.3.2	<i>Combined Use of ICI Cancellation and IA</i>	37
3.3.3	<i>Inter-Sector Cooperation Using TDD Reciprocity</i>	38
3.4	New ICI Mitigation Strategy in m-WiMAX	39
3.4.1	<i>Three Steps for ICI Mitigation</i>	39
3.4.2	<i>Performance Evaluation</i>	42
3.5	Conclusion	44
	References	47
4	Overview of Rate Adaptation Algorithms and Simulation Environment Based on MIMO Technology in WiMAX Networks	49
	<i>Tsz Ho Chan, Chui Ying Cheung, Maode Ma and Mounir Hamdi</i>	
4.1	Introduction	49
4.2	WiMAX Physical and MAC Layer Description	50
4.3	Research Issues on the MIMO-based Rate Adaptation Algorithms	52
4.3.1	<i>Physical Layer Enhancement by MIMO: Spatial Diversity vs Spatial Multiplexing</i>	55
4.3.2	<i>Closed-loop and Open-loop Link Adaptations in WiMAX</i>	56
4.3.3	<i>Channel Quality Measurement and Channel Characterization</i>	56
4.3.4	<i>Automatic Request (ARQ) at the MAC Layer</i>	58
4.4	Constructing a Practical Rate Adaptation Simulation Model for MIMO-Based WiMAX Systems	58
4.4.1	<i>Simulation Model Structure and Features</i>	59
4.4.2	<i>Simulation Results and Discussion</i>	61
4.5	Conclusion	64
	References	64
5	Phase Noise Estimation in OFDMA Uplink Communications	67
	<i>Yi-Ching Liao, Chung-Kei Yu, I-Hsueh Lin and Kwang-Cheng Chen</i>	
5.1	Introduction	67
5.2	Modeling of Phase Noise	68
5.3	Phase Noise in OFDM	72
5.4	Phase Noise in OFDMA	78
5.5	Conclusion	86
	References	86
Part Two	Medium Access Control and Network Architecture	89
6	Optimizing WiMAX MAC Layer Operations to Enhance Application End-to-End Performance	91
	<i>Xiangying Yang, Muthaiah Venkatachalam, and Mohanty Shantidev</i>	
6.1	Introduction	91
6.2	Overview of WiMAX MAC features	92

Contents	vii
6.2.1 Connection-Based Service Differentiation	92
6.2.2 Scheduling Types and Opportunistic Scheduler	92
6.2.3 Best-Effort Service Class in WiMAX	93
6.2.4 Link Adaptation and ARQ	94
6.3 Asymmetric Link Adaptation for TCP	95
6.3.1 TCP Performance on Wireless Network	95
6.3.2 TCP Usage Model in Broadband Wireless Networks	97
6.3.3 Asymmetric Link Adaptation for TCP-Based Applications	98
6.3.4 Optimizing ARQ Setting	99
6.4 Service-Class Specific Scheduling	99
6.4.1 Relevant Scheduling Policies	100
6.4.2 Scheduling Impacts End-to-End TCP Performance	100
6.5 Simulations	101
6.5.1 Simulation Setup	101
6.5.2 Optimizing ARQ Parameter Setting	102
6.5.3 Capacity Improvement with Asymmetric Link Adaptation	102
6.5.4 Performance of TCP-Aware Scheduler	105
6.6 Other MAC Layer Optimization Techniques	106
6.6.1 Adaptive Polling	106
6.6.2 Enhance Contention-Based Bandwidth Request	106
6.6.3 Coupling ARQ-HARQ Operations	106
6.7 Conclusion	108
References	108
7 A Novel Algorithm for Efficient Paging in Mobile WiMAX	111
<i>Mohanty Shantidev, Muthaiah Venkatachalam, and Xiangying Yang</i>	
7.1 Introduction	111
7.2 Overview of Idle Mode and Paging Operation in Mobile WiMAX Networks	113
7.2.1 Paging Architecture	113
7.2.2 Paging Overhead	115
7.2.3 Paging Latency	116
7.3 Proposed Paging Algorithm for Mobile WiMAX Networks	117
7.3.1 Overview of the proposed paging algorithm	117
7.3.2 Description of the proposed paging algorithm	117
7.3.3 Operation of the proposed paging algorithm	119
7.4 Performance Evaluation	119
7.5 Conclusion	122
References	122
8 All-IP Network Architecture for Mobile WiMAX	125
<i>Nat Natarajan, Prakash Iyer, Muthaiah Venkatachalam, Anand Bedekar, and Eren Gonen</i>	
8.1 Introduction	125
8.2 WiMAX Network Architecture Principles	126
8.2.1 4G System Characteristics	126

8.2.2	<i>Design Principles for the WiMAX Network</i>	126
8.2.3	<i>Adopting a Functional Architecture Model</i>	127
8.3	Network Architecture	128
8.3.1	<i>Network Functional Entities</i>	128
8.3.2	<i>Inter-ASN Reference Points (RPs)</i>	129
8.3.3	<i>ASN Logical Entities</i>	130
8.3.4	<i>Intra-ASN Reference Points</i>	131
8.3.5	<i>Network Access and Service Provider Relationships</i>	132
8.3.6	<i>Comparison with 3G System Architectures</i>	132
8.4	MS Session Control Procedures	134
8.4.1	<i>Powering ON and Network Entry</i>	135
8.4.2	<i>Registered State and Deregistered (Idle State)</i>	135
8.4.3	<i>Idle Mode Mobility</i>	136
8.5	Mobility Management	136
8.6	QoS and Policy Architecture	138
8.7	Network Discovery and Selection	142
8.8	Network Interoperability	143
8.9	Conclusion	144
	References	144
Part Three Multi-hop Relay Networks		145
9	Aggregation and Tunneling in IEEE 802.16j Multi-hop Relay Networks	147
	<i>Zhifeng Tao, Koon Hoo Teo, and Jinyun Zhang</i>	
9.1	Introduction	147
9.2	Background and Motivation	148
9.2.1	<i>The IEEE 802.16/16e Protocol</i>	148
9.2.2	<i>An Overview of the IEEE 802.16j</i>	149
9.2.3	<i>Challenges in IEEE 802.16j</i>	150
9.3	Tunneling and Aggregation	152
9.3.1	<i>Definition of a Tunnel</i>	152
9.3.2	<i>Tunnel MPDU Construction</i>	154
9.3.3	<i>Tunnel-in-Tunnel</i>	156
9.3.4	<i>Traffic Prioritization with Tunneling</i>	157
9.4	Performance Evaluation	158
9.5	Conclusion	162
	References	162
10	Resource Scheduling with Directional Antennas for Multi-hop Relay Networks in a Manhattan-like Environment	165
	<i>Shiang-Jiun Lin, Wern-Ho Sheen, I-Kang Fu, and Chia-Chi Huang</i>	
10.1	Introduction	165
10.2	System Setup and Propagation Models	169
10.2.1	<i>System Setup</i>	169
10.2.2	<i>Propagation Models and Antenna Pattern</i>	170

Contents	ix
<hr/>	
10.3 Resource Scheduling Methods	171
10.3.1 Scheduling with Omni-directional Antennas	171
10.3.2 Scheduling with Directional Antennas	172
10.4 Numerical Results	175
10.5 Conclusion	175
References	175
11 Efficient Radio Resource Deployment for Mobile WiMAX with Multi-hop Relays	181
<i>Yong Sun, Yan Q. Bian, Andrew R. Nix, and Joseph P. McGeehan</i>	
11.1 Introduction	181
11.2 System Performance and Enhancement	183
11.3 Effective Efficiency of Multi-hop Relaying	188
11.4 Relay Efficiency without Radio Resource Sharing	189
11.5 Relay Efficiency with Radio Resource Sharing	192
11.6 Directional Distributed Relay Architecture	194
11.7 Case Study of Radio Resource Sharing	197
11.8 Conclusion	199
References	200
12 Dimensioning Cellular Multi-hop WiMAX Networks	203
<i>Christian Hoymann and Stephan Göbbels</i>	
12.1 Dimensioning Cellular 802.16 Networks	203
12.1.1 Clustering and Sectorization	203
12.1.2 Mean Interference Generated by a Distant Cell	205
12.1.3 Cellular Scenario	209
12.1.4 Downlink Transmission	211
12.1.5 Uplink Transmission	213
12.2 Dimensioning Cellular Multi-hop 802.16 Networks	216
12.2.1 Cellular Multi-hop Scenarios	216
12.2.2 Mean Interference Generated by Multi-hop (Sub-)Cells	218
12.2.3 Time Division Multiplex of Relay Subcells	219
12.2.4 Space Division Multiplex of Relay Subcells	222
12.2.5 Space Division Multiplex in Combined LOS-NLOS Scenarios	223
12.2.6 Space Division Multiplex with Directive Antennas	225
12.2.7 Summarized Coverage Areas of Cellular Single-hop and Multi-hop Scenarios	227
12.2.8 Capacity of Cellular 802.16 Networks	228
References	233
Part Four Multimedia Applications, Services, and Deployment	235
13 Cross-Layer End-to-End QoS for Scalable Video over Mobile WiMAX	237
<i>Jenq-Neng Hwang, Chih-Wei Huang, and Chih-Wei Chang</i>	
13.1 Introduction	237
13.2 Critical End-System Techniques	239

13.2.1	<i>Advances in Scalable Video Coding</i>	239
13.2.2	<i>End-to-End Congestion Control</i>	239
13.2.3	<i>Layered Coding and FEC Structure for Error Control</i>	243
13.2.4	<i>Embedded Layered Probing and Join Decision</i>	245
13.3	Mobile WiMAX QoS Provisioning	246
13.3.1	<i>Internet Protocols</i>	246
13.3.2	<i>WiMAX QoS Support</i>	247
13.4	The Integrated Cross-Layer System	250
13.4.1	<i>System Overview</i>	250
13.4.2	<i>Priority Service Flow Mapping</i>	250
13.4.3	<i>Performance</i>	252
13.5	Conclusion	254
	References	254
14	WiBro – A 2.3 GHz Mobile WiMAX: System Design, Network Deployment, and Services	257
	<i>Hyunpyo Kim, Jaekon Lee, and Byeong Gi Lee</i>	
14.1	Introduction	257
14.2	Mobile WiMAX Network	259
14.2.1	<i>Network Configuration</i>	259
14.2.2	<i>System Functions</i>	260
14.3	ACR (ASN-GW) System Design	262
14.3.1	<i>ACR Architecture</i>	262
14.3.2	<i>ACR Functions</i>	263
14.4	RAS (BS) System Design	268
14.4.1	<i>RAS Architecture</i>	269
14.4.2	<i>RAS Functions</i>	270
14.5	Access Network Deployment	273
14.5.1	<i>Radio Network Planning (RNP)</i>	274
14.5.2	<i>Network Implementation and Optimization</i>	277
14.6	Core Network Deployment	279
14.6.1	<i>Core Network Planning</i>	279
14.6.2	<i>Authentication, Authorization and Accounting (AAA)</i>	281
14.6.3	<i>Aggregation Switch (L2 switch)</i>	281
14.6.4	<i>Transmission Line Connection</i>	281
14.7	WiBro Services	281
14.7.1	<i>Service Platform</i>	282
14.7.2	<i>Major Application Services</i>	284
14.7.3	<i>Communicator</i>	288
14.7.4	<i>m-IP Channel Service</i>	289
	References	290
15	A New WiMAX Profile for DTV Return Channel and Wireless Access	291
	<i>Luís Geraldo Pedrosa Meloni</i>	
15.1	Introduction	291
15.2	A Brief History of the SBTVD-T	293

15.3	WiMAX as Return Channel for DTV	294
15.4	WiMAX-700 Advantages and RC Application	295
15.5	Network Architecture	297
15.6	WiMAX-700 Channelling	298
15.7	WiMAX-700 Capacity Simulation for Interactive DTV	300
	15.7.1 <i>Simulation Scenarios</i>	302
	15.7.2 <i>Configuration Model</i>	305
	15.7.3 <i>Simulation Models</i>	306
	15.7.4 <i>Analysis of the Results</i>	307
	15.7.5 <i>RF Spectrum Use</i>	309
15.8	Conclusion	310
	References	311
16	A Packetization Technique for D-Cinema Contents Multicasting over Metropolitan Wireless Networks	313
	<i>Paolo Micanti, Giuseppe Baruffa, and Fabrizio Frescura</i>	
16.1	Introduction	313
16.2	Technical Specifications for D-Cinema	315
	16.2.1 <i>JPEG 2000 Overview</i>	315
	16.2.2 <i>Digital Cinema Initiatives System Specifications</i>	317
16.3	Multicast Protocol Overview	317
	16.3.1 <i>Packetization Strategy and Header Format</i>	319
16.4	System Architecture	322
16.5	Test Application and Results	324
16.6	Conclusion	325
	References	326
17	WiMAX Extension to Isolated Research Data Networks: The WEIRD System	329
	<i>Emiliano Guainella, Eugen Borcoci, Marcos Katz, Pedro Neves, Marilia Curado, Fausto Andreotti, and Enrico Angori</i>	
17.1	Introduction	329
17.2	Novel Application Scenarios for WiMAX	330
	17.2.1 <i>Environmental Monitoring</i>	330
	17.2.2 <i>Telemedicine</i>	331
	17.2.3 <i>Fire Prevention</i>	332
17.3	Key Technologies	333
	17.3.1 <i>Physical Layer Issues</i>	333
	17.3.2 <i>MAC and Service Flow Management</i>	334
	17.3.3 <i>Low Level Hardware Transparency (Adapters)</i>	336
	17.3.4 <i>IP-Based Transport</i>	336
	17.3.5 <i>Application and Session Signaling</i>	337
	17.3.6 <i>Resource-Oriented Signaling</i>	338
	17.3.7 <i>AAA Framework</i>	339
17.4	System Architecture	340
	17.4.1 <i>Recent Architecture Standards and Trends</i>	340

17.4.2	<i>WEIRD Overall Multi-plane Architecture</i>	341
17.4.3	<i>Functional Description</i>	346
17.5	Validating Results: Four European Testbeds	348
17.6	Conclusion	350
	References	351
18	Business Model for a Mobile WiMAX Deployment in Belgium	353
	<i>Bart Lannoo, Sofie Verbrugge, Jan Van Ooteghem, Bruno Quinart, Marc Casteleyn, Didier Colle, Mario Pickavet, and Piet Demeester</i>	
18.1	Introduction	353
18.2	Technical and Physical Aspects of Mobile WiMAX	354
18.2.1	<i>Network and Equipment</i>	354
18.2.2	<i>Physical Aspects</i>	355
18.3	Technical Model and Planning Tool	356
18.3.1	<i>Link Budget</i>	356
18.3.2	<i>Propagation Model</i>	360
18.3.3	<i>Cell Area</i>	361
18.3.4	<i>Bit Rate per Sector</i>	361
18.3.5	<i>Required Number of Sites and Sectors</i>	362
18.3.6	<i>Planning Tool: Graphical User Interface</i>	362
18.4	Business Model	363
18.4.1	<i>Model Input Parameters</i>	363
18.4.2	<i>Costs</i>	367
18.4.3	<i>Revenues</i>	368
18.5	Economic Results for a Mobile WiMAX Rollout in Belgium	369
18.5.1	<i>Static Analysis</i>	369
18.5.2	<i>Sensitivity Analysis</i>	372
18.6	Conclusion	374
	Acknowledgements	375
	References	375
Index		377